

PATENT APPLICATION FEE DETERMINATION RECORD
Substitute for Form PTO-875

Application or Docket Number: **09/470,041**

CLAIMS AS FILED - PART I

| FOR | NUMBER FILED | NUMBER EXTRA |
|---|--------------|--------------|
| BASIC FEE (37 CFR 1.16(a)) | | |
| TOTAL CLAIMS (37 CFR 1.16(d)) | minus 20 = | |
| INDEPENDENT CLAIMS (37 CFR 1.16(e)) | minus 3 = | |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(f)) | | |

* If the difference in column 1 is less than zero, enter "0" in column 2.

SMALL ENTITY OR **OTHER THAN SMALL ENTITY**

| RATE | FEE |
|-------|-----|
| | \$ |
| x \$ | |
| x \$ | |
| + \$ | |
| TOTAL | |

CLAIMS AS AMENDED - PART II

AMENDMENT A

| CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|------------------------------------|---------------|
| Total (37 CFR 1.16(a)) | 30 | 50 |
| Independent (37 CFR 1.16(e)) | 3 | 3 |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(f)) | | |

SMALL ENTITY OR **OTHER THAN SMALL ENTITY**

| RATE | ADDITIONAL FEE |
|----------------|----------------|
| x \$ | 7 |
| x \$ | |
| + \$ | |
| TOTAL ADDL FEE | |

AMENDMENT B

| CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|------------------------------------|---------------|
| Total (37 CFR 1.16(a)) | 30 | 50 |
| Independent (37 CFR 1.16(e)) | 3 | 3 |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(f)) | | |

SMALL ENTITY OR **OTHER THAN SMALL ENTITY**

| RATE | ADDITIONAL FEE |
|----------------|----------------|
| x \$ | 7 |
| x \$ | |
| + \$ | |
| TOTAL ADDL FEE | |

AMENDMENT C

| CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA |
|---|------------------------------------|---------------|
| Total (37 CFR 1.16(a)) | 30 | 50 |
| Independent (37 CFR 1.16(e)) | 3 | 3 |
| FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(f)) | | |

SMALL ENTITY OR **OTHER THAN SMALL ENTITY**

| RATE | ADDITIONAL FEE |
|----------------|----------------|
| x \$ | 7 |
| x \$ | |
| + \$ | |
| TOTAL ADDL FEE | |

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

BEST AVAILABLE COPY

Serial No 09/470,041
In reply to Office Action mailed December 7, 2004
Page 1 of 9

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Ng et al.

Ser. #: 09/470,041

Filed: December 22, 1999

For: INITIATION OF BIDDING IN A
VIRTUAL TRADE FINANCIAL
ENVIRONMENT

Examiner: Cuff, Michael A.

Art Unit: 3627

Atty. Docket #: 60021-339701
(AND1P397)

Certificate of Transmission

I certify that this paper is being transmitted by facsimile to MS
AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA
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2005.

Janet Byrne

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE AFTER FINAL

Dear Sir:

This paper is responsive to the Final Office Action dated December 7, 2004 and Advisory
Action dated February 9, 2005.

Amendments to the Claims are reflected in the listing of claims, which begins on
page 2 of this paper.

Remarks begin on page 8 of this paper.

PTO/SB/30 (10-01)

Approved for use through 10/31/2002. OMB 0551-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the paperwork Reduction Act of 1993, no persons are required to respond to a collection of information unless it displays a valid OMB control number

| | | |
|---|------------------------|-------------------|
| REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL Address to: MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Application Number | 09/470,041 |
| | Filing date | December 22, 1999 |
| | First Named Inventor | William Ng |
| | Art Unit | 3627 |
| | Examiner Name | Michael A. Cuff |
| | Attorney Docket Number | 60021-339701 |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
 Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on March 4, 2005.
 (Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____.
- b. ☒ Enclosed
- i. ☐ Amendment(s)/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____ and Returned Postcard.

2. **Miscellaneous**


- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(b) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)
- b. ☐ Other _____.

3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1901.
- i. ☒ RCE fee required under 37 CFR 1.17(e). - \$790
- ii. ☒ Extension of time fee (37 CFR 1.138 and 1.17) \$120.
- iii. ☐ Other _____.
- b. ☐ Check in the amount of \$_____ enclosed.
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)


WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|---|-----------------------------------|---------------|
| Name (Print/Type) | Rudolph P. Hofmann | Registration No. (Attorney/Agent) | 38,187 |
| Signature |  | Date: | April 5, 2005 |

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MS RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

| | | | |
|-------------------|---|-------|---------------|
| Name (Print/Type) | Janet Byrne | Date: | April 5, 2005 |
| Signature |  | | |

PAGE 20 * RCVD AT 4/5/2005 12:20:49 PM [Eastern Daylight Time] * SVR:USPTO-EFXXF-1/3 * DNIS:8729306 * CSID:812 607 7100 * DURATION (mm:ss):01:06

ADDITIONAL INFORMATION: 2212339 V01 04/05/2005

04/11/2005 SCALLIHA 00000007 501901 09470041

01 FC:1801 790.00 DA
 02 FC:1251 120.00 DA